

WEBINAR: THE REGULATORY LANDSCAPE – RECENT FINDINGS FROM SRA INSPECTIONS AND WHAT THE TRANSITION TO FCA REGULATION WILL LOOK AND FEEL LIKE

MONDAY 5 OCTOBER 2026 – 11:00 – 12:00

Webinar details: This webinar will take place on **Microsoft Teams**. The joining link will be sent to attendees nearer the event date.

Cost: £0 – Member and Associate Members of BDLS/Trainee Solicitor
£10 – Non-Member of BDLS

Booking Reference: 700

CPD: 1

SRA Competence: B [SRA](#) | [Statement of solicitor competence](#) | [Solicitors Regulation Authority](#)

This webinar will look at:

- **What's going on from a non-AML perspective**
 - Rebukes, fines and SDT decisions
 - Continuing competency and ethics – what the recent consultation is telling us in terms of the direction of travel
 - Role holders – the future for COLPs and COFAs
 - Complaints - data collection, Model Complaints Resolution Procedure & the role of AI
- **AML – where we are and where we're heading**
 - Keeping the current regulator happy
 - What I'm seeing firms struggle with on the ground
 - Getting ready for the FCA – what we know, what we don't know and what we suspect
 - Practical things to be cracking on with now
- **Questions – ask me anything!**

Speaker Profile:

This webinar will be presented by **ELOISE BUTTERWORTH**, independent compliance consultant. With 19 years' experience in the legal sector, Eloise is a leading voice in risk and compliance. Having worked in-house and as a consultant she offers a grounded and clear voice in relation to what firms need to be able to demonstrate to the regulator whilst remaining commercially agile. A regular author for the Law Society, and public speaker, Eloise has advised firms from sole practitioners to those whose turnover tops £200 million and so there isn't a lot she hasn't seen. Known for her straight talking and practical advice she serves up compliance know how in a digestible and practical format focusing on where firms are at and where they are trying to get to.



Please book online at:

<https://www.bournemouthlaw.com/bournemouth-district-law-society-lectures>

Payment for lectures: Please be aware that payment must be received at the office before the lecture takes place. Course bookings will only be confirmed upon payment. No refunds within 7 days of the course.